

FAIS Disclosure

FOR

ROBOMARKETS SA (PTY) LTD

Reg 2023/512177/07

FSP No. 53105



FAIS DISCLOSURE DOCUMENT

INTRODUCTION

In terms of the General Code of Conduct of the FAIS Act, ROBOMARKETS SA (PTY) LTD and company number of 2023/116066/07, authorized financial services provider FSP number 53105, is required to disclose the information in this document to you as an authorised Financial Services Provider. You are therefore requested to read through the document carefully and sign the acknowledgement that you have read and understand the contents hereof. If there is anything in this document that you do not understand, please request further information from us. You are entitled to a copy of this document for your own records and to request a copy of our FSP license certificate.

Physical address	ADAPT OFFICES 3 GWEN LANE SANDOWN, SANDTON 2196	Postal Address	ADAPT OFFICES 3 GWEN LANE SANDOWN, SANDTON 2196
FSP license number	53105	Telephone	021 100 6747
Company registration	2023/512177/07		
Legal status	Private Company		

FINANCIAL SERVICE PROVIDER, PRODUCTS AND CONTACT DETAILS

The Financial Sector Conduct Authority has duly authorised ROBOMARKETS SA (PTY) LTD to render financial services as defined in terms of the FAIS Act in respect of the following financial products:

Category Description	Advice Non-automated	Intermediary Other
CATEGORY I		
Structured Deposits	X	X
Participatory interest in a hedge fund	X	X
Shares	X	X
Money market instruments	X	X
Debentures and securitised debt	X	X
Warrants, certificates and other instruments	X	X
Bonds	X	X
Derivative instruments	X	X
Participatory interests in a collective investment scheme	X	X
Long-term Deposits	X	X
Short-term Deposits	X	X

EXEMPTIONS

The FSP was not awarded any exemptions from the Act.

CONFLICT OF INTEREST MANAGEMENT POLICY

ROBOMARKETS SA (PTY) LTD has adopted and implemented a conflict of interest management policy that complies with the provisions of the FAIS Act. The conflict of interest management policy can be viewed on the website.

INDEMNITY COVER

ROBOMARKETS SA (PTY) LTD holds adequate Professional Indemnity.

COMPLIANCE OFFICER

Name	Oracle Compliance
Contact person	Andrea Samuels Gudar
Telephone number	011 100 2551

COMPLAINTS

If you feel that your rights have been prejudiced, or you have been aggrieved in any way, you have the right to lodge a complaint. Our complaints process is available on the website. You may additionally contact the compliance department via email on r.moolla@robopro.team If you are unsatisfied with the internal process. If you cannot settle your complaint with us, you are entitled to refer it to the office of the FAIS Ombud:

Telephone number

0860 324 766

E-mail

info@faisombud.co.za

The Ombud has been created to provide you with a redress mechanism for any inappropriate financial advice that you feel may have been given to you by a financial services provider.

FINANCIAL INTELLIGENCE CENTRE ACT (“FICA”)

In terms of FICA, ROBOMARKETS SA (PTY) LTD is an accountable institution. We are required to identify our prospective clients, verify the given information and keep records of the verifying documents. We are also obliged to report suspicious and unusual transactions that may facilitate money laundering to the authorities.

DISCLAIMER

You should note that there are risks involved in buying or selling any financial product, and past

performance of a financial product is not necessarily indicative of the future performance. The value of financial products can increase as well as decrease over time, depending on the value of the underlying securities and market conditions. Hedge Funds are collective investment schemes to which the prescribed provisions of the Collective Investment Schemes Control Act (Act 45 of 2002) apply.

WAIVER OF RIGHTS

You are hereby advised that no representatives of the provider or any other person may ask you, or offer any inducement to you, to waive any right or benefit conferred on you by or in terms of any provision of the FAIS Act. Note further to that no representative has a right to enter into any contractual obligation on the clients behalf, or to restructure portfolios without the clients prior written consent.